#5 2185

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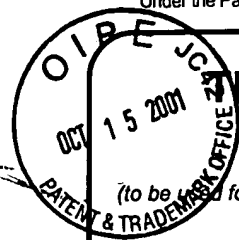
TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/912,068
Filing Date	07/23/01
First Named Inventor	Zhang
Group Art Unit	2185
Examiner Name	Unassigned

Total Number of Pages in This Submission	1	**Attorney Docket Number** 20510-001700US

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ENCLOSURES *(check all that apply)*

☐ Fee Transmittal Form

   ☐ Fee Attached

☐ Amendment / Response

   ☐ After Final

   ☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

   ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers *(for an Application)*

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) *(please identify below)*:

1) **seventeen (17) cited references**
2) **Return receipt postcard**

Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. **Total number of pages does not include cited references.**

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Charles L. Hamilton Reg No. 42,624
Signature	*[signature]*
Date	October 9, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10/9/01

Typed or printed name	Chris Fitting	*[signature]*		
Signature			Date	10/9/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Song Zhang et al.

Application No.: 09/912,068

Filed: July 23, 2001

For: METHOD AND SYSTEM FOR
TURBO ENCODING IN ADSL

Examiner: Unassigned

Art Unit: 2185

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

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Assistant Commissioner for Patents
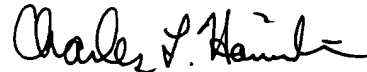Washington, D.C. 20231

Sir:

        The references cited on the attached PTO/SB/08B form are being called to the

attention of the Examiner. Copies of the references are enclosed. It is respectfully requested

that the cited references be expressly considered during the prosecution of this application, and

the references be made of record therein and appear among the "references cited" on any

patent to issue therefrom.

        As provided for by 37 CFR 1.97(g) and (h), no representation is being made that

a search has been conducted or that this statement encompasses all the possible relevant

information, and no inference should be made that the information and references cited are, or

are considered to be material to patentability because they are in this statement. No inference

should be made that the information and references cited are prior art merely because they are

in this statement.

Song Zhang et al.
Application No.:
Page 2

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Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.
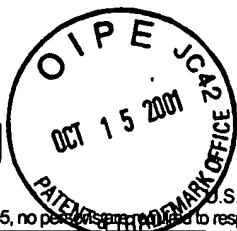
Respectfully submitted,

Charles L. Hamilton
Reg. No. 42,624

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known	
Application Number	09/912,068
Filing Date	07/23/01
First Named Inventor	Zhang
Group Art Unit	2185
Examiner Name	Unassigned
Attorney Docket Number	20510-001700US

Sheet	1	of	1

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
	1	Bahl et al., "Optimal Decoding of Linear Codes for Minimizing Symbol Error Rate," IEEE Transactions on Information Theory, IT-20:284-287 (1974).	
	2	Benedetto et al., "Parallel Concatenated Trellis Coded Modulation," IEEE ICC96, pgs. 974-978 (1996).	
	3	Benedetto et al., "Serial Concatenation of Interleaved Codes: Performance Analysis, Design, and Iterative Decoding," TDA Progress Report 42-126, pages 1-26, Auust 15, 1996.	
	4	Berrou, C., "Near Optimum Error Error Correcting Coding And Decoding: Turbo-Codes," IEEE Trans. Communications, 44(10):1261-1271 (1996).	
	5	Chase, D., "A Class of Agorithms for Dcoding Block Cdes With Channel Measurement Information," IEEE Transactions On Info. Theory, IT-18(1):170-181 (1972).	
	6	Divsalar et al., "Turbo Codes for PCS Applications," IEEE ICC95, Jet Propulsion Laboratory, Cal Inst. Tech., pgs. 54-59 (1995).	
	7	Divsalar et al., "Multiple Turbo Codes for Deep-Space Communications," TDA Progress Report 42-121, pages 66-77, May 15, 1995.	
	8	Gallager, R.G., "Low-Density Parity-Check Codes," IRE Transactions on Information Theory, pages 21-28 (1992).	
	9	Hagenauer et al., "A Viterbi Algorithm with Soft-Decision Outputs and its Applications," IEEE Global Telecommunications Conference, held 11/27-30/1989, Dallas TX., pgs. 1680-1686.	
	10	Le Goff et al., "Turbo-Codes and High Spectral Efficiency Modulation," IEEE ICC94, pages 645-649, (1994).	
	11	MacKay, D., "Good Error-Correcting Codes Based on Very Sparse Matrices," IEEE Transactions on Info. Theory, 45(2):399-431 (1999).	
	12	MacKay et al., "Near Shannon limit performance of low density parity check codes," Electronics Letters, 32(18):1645-1646 (1996).	
	13	Pyndiah, R.M., "Near-Optimum Decoding of Product Codes: Block Turbo Codes," IEEE Transactions on Communications, 46(8):1003-1010 (1998).	
	14	Robertson, P., "Illuminating the Structure of Code and Decoder of Parallel Concatenated Recursive Systematic (Turbo) Codes," IEEE GLOBECOM94, pages 1298-1303 (1994).	
	15	Robertson et al., "Bandwith-Efficient Turbo Trellis-Coded Modulation Using Punctured Component Codes," IEEE J. Selected Areas in Communications, 16(2):206-218 (1998).	
	16	Ungerboeck, G., "Channel coding with Multilevel / Phase Signals," IEEE Trans. Info. Theory, IT-28(1):55-67 (1982).	
	17	"New Proposal of Turbo Codes for ADSL Modems," ITU Standard Collection, Study Group 15, Question 4/15, BA-020R1, Antwerp, Belgium, June 19-23, 2000.	

Examiner Signature		Date Considered	

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